

DOCUMENT CONTROL

DOCUMENT INFORMATION

	Information
Company Name	NU Investors Technologies Pvt Ltd
Document Name	Policy for Handling Good till Date Orders
Document Owner	Compliance and Operations
Issue Date	01-October-2025
Classification	Internal
SEBI Registration No. Stockbroker	INZ000304837
SEBI DP Reg. No.	IN-DP-712-2022
SEBI RA Reg. No.	INH000016764

DOCUMENT HISTORY

Version	Issue Date	Changes
[1.0]	01-October-2025	Original issue

DOCUMENT APPROVALS

Prepared by	Vishal Prajapati
Reviewed by	Shivkumar
Approved by	Vimal Sagar Tiwari

Table on Content

S. No.	Particulars	Page No.
1	Policy Objective	3
2	Scope	3
3	Details of Good till Cancelled/Good till Triggered/orders	3
4	Handling of GTD orders in case of corporate actions	5
5	Updating Clients of upcoming Corporate Actions	6
6	Policy Communication	6
7	Policy Review	6

Policy for Handling Good till Date Orders

1. Policy Objective -

Exchanges vide its circular NSE/INSP/62528 dated June 21, 2024, and 20240622-2 dated June 22, 2024, pertaining to 'Policy on Handling of Good till Cancelled Orders offered by Members to Clients' mandated trading members to formulate a policy in case they offer "Good till Date"/ "Good till Triggered" orders or orders of similar type.

The purpose of this document is to put in place a policy for such orders.

2. Scope -

The Exchanges have stated that the policy shall include:

- Details of Good till Date/Good till Triggered/orders of similar type provided by member including its validity.
- Manner of handling of such orders in case of corporate actions (e.g. cancellation, price reset, retaining, etc. for the unexecuted orders).
- Provide timeline within which the member shall intimate their clients about details of upcoming corporate actions applicable for such unexecuted orders of clients, which shall not be later than one day prior to the ex-date of the corporate action.

3. Details of Good till Date orders -

NU Investors Technologies Private Limited enables its clients to place "Good till Date" (GTD) orders.

- a) GTD is an order facility through which a client can place buy and sell limit orders in Equity Cash, Index futures, Stock futures, Index Options, Stock options & MTF product only specifying the period for which the order instruction is valid. The period selected by the client shall be within the maximum validity date defined by NU and the order shall be valid upto 1 year. All existing and new clients of NU are eligible.
- b) As and when, GTD orders are introduced in new segments the same shall be displayed through the NU website and subsequently updated in the policy.
- c) Client can specify disclosed quantity while placing cash orders with GTD order validity.

- d)** GTD orders can only be placed by specifying a limit price. GTD orders cannot be placed at market price.
- e)** If a GTD order is not executed for the entire quantity, NU is authorised to place fresh orders for the unexecuted quantity for the client on the subsequent trading days till the entire quantity is executed or till the validity expires, whichever is earlier.
- f)** GTD orders can be placed during the pre-open session for all scrips, however only orders in scrips that are pre-open enabled would be sent to exchange during the pre-open session. Orders in all other scrips not enabled for pre-open session would be treated as overnight orders and sent to exchange during normal trading session.
- g)** Client shall ensure that necessary funds/margins are available to place GTD orders placed by them.
- h)** “Order Validity” means the time period which is selected by the client while placing GTD orders. Client can choose the GTD order validity for the day or upto 1 year validity.
- i)** In case the GTD order validity date falls on a non-trading day, the order is expired by NU on the last trading day which falls prior to such order valid date which is a non-trading day. Post the expiry, the status of GTD order is updated as Expired (Closed).
- j)** Once a client has placed a GTD order, NU will place orders for the unexecuted quantity of the GTD order for all the days during the validity period or till the quantity is fully executed or till the time client has cancelled the order. Client may login only to check the status of such orders.
- k)** All securities in BSE & NSE except securities in debt segment, NCD, Bonds and illiquid securities are eligible for the placing GTD order.
- l)** Orders with GTD validity can be placed both during the market hours as well as post market hours.
- m)** GTD orders can be placed over Call and Trade.
- n)** There is no limit for the client to place GTD orders provided sufficient balance is available in the client ledger.
- o)** Clients can modify the quantity or limit price of a GTD orders. Clients can modify the order only when the order is in 'Ordered status' (during market hours) or 'Requested status' (after-market hours).
- p)** All GTD orders can be cancelled.

- q) The Brokerage rates and applicable charges are same for normal transactions and GTD orders. Further, GTD orders shall be settled in the same manner as normal equity / derivative market transactions.
- r) Stoploss orders can also be placed with GTD validity.

4. Handling of GTD orders in case of corporate actions -

- 4.1 NU doesn't manage corporate actions (e.g., dividend, bonus, split, etc) for GTD orders
- 4.2 Clients are responsible for reviewing and modifying/cancelling GTD orders to account for corporate actions.
- 4.3 NU shall send notification at least one day before the ex-date of corporate actions.

5. Updating Clients of upcoming Corporate Actions -

All upcoming corporate actions including dividend, bonus, split, etc. shall be intimated to clients having unexecuted GTD orders at least one day prior to the ex-date of the corporate action.

Clients shall review their GTD orders pro-actively whenever there may be impact of corporate action on their order/s. It would be the onus of the client to take appropriate action to modify / cancel orders accordingly.

6. Policy Communication -

The said policy shall be made part of the Account Opening Form/Kit under heading "Policy on Handling of Good till Cancelled Orders of Client" of Policy and Procedures document and shall also be displayed on the NU website.

7. Policy Review -

The said policy shall be reviewed on an annual basis.