Stock Broking

Data for every month ending -September 2025

S:No	Receive d from	Carried forward	Received during	Total Pendi	Resolved*	Pending at the end of the		Average Resoluti
		from	the	ng		month**		on time^
		previous month	month					(in days)
						Pendi	Pendin	
						ng for	g for	
						less	more	
						than	than 3	
						3	month	
						mont	S	
						hs		
1	2	3	4	5	6	7		8
1	Directl							
	y from Investors	0	16	0	15	1	0	3
2	SEBI (SCORE S)	0	8	0	8	0	0	2
3	Stock Exchang es	0	0	0	0	0	0	0
4	Other Sources (if any)	0	1	0	1	0	0	0
5	Grand Total	0	25	0	24	1	0	5

Trend of monthly disposal of complaints

SN	Month	Carried forward	Received	Resolved*	Pending**
		from previous month			
1	2	3	4	5	6
1	October-2023	0	0	0	0
2	November-2023	0	0	0	0
3	December-2023	0	0	0	0

4	January – 2024	0	0	0	0
5	February – 2024	0	0	0	0
6	March - 2024	0	0	0	0
7	April – 2024	0	0	0	0
8	May - 2024	0	0	0	0
9	June -2024	0	0	0	0
10	July - 2024	0	5	5	0
11	August – 2024	0	6	6	0
12	September – 2024	0	5	5	0
13	October – 2024	0	9	9	0
14	November – 2024	0	1	1	0
15	December – 2024	0	10	9	0
16	January - 2025	1	11	8	0
17	February – 2025	4	13	12	0
18	March - 2025	5	7	12	0
19	April -2025	0	12	12	0
20	May- 2025	0	11	8	0
21	June - 2025	3	21	24	0
22	July-2025	0	19	10	0
23	August- 2025	0	20	18	2
24	September- 2025	2	25	26	1
	Grand Total		175	174	1

^{*}Should include complaints of previous months resolved in the current month, if any.

Trend of annual disposal of complaints

SN	Year	Carried forward	Received	Resolved	Pending at
		from previous year	during the year	during the	the end of the
				year	year
1	2023-24	0	0	0	0
2	2024-25	0	36	36	0
3	2025-26	0	141	140	0
	Grand Total	0	177	176	1

^{*}Should include total complaints pending as on the last day of the month, if any.

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.